FINRA BOARD BUSY AT SEPTEMBER MEETING—FOCUS ON HFT

The September Board meeting of FINRA had a full agenda. The primary focus was on high frequency trading and equity market transparency. One of the more controversial proposal is a new series of registration for persons who set algorithmic strategies.

Also on the docket was a new recruiting proposal which will be sent out for comment. <u>Click here</u> to read a full list of the topics discussed.