About Broker Dealings

Broker Dealings A Broker-Dealer Law Blog sm is a blog published by <u>Seward & Kissel LLP</u> that reviews regulatory and legal events of interest to attorneys, compliance officers, executives and other participants in the broker dealer industry.

If you have any questions regarding Broker-Dealings feel free to contact Marlon Q. Paz at paz@xlo3.wpengine.com.